

## Reduction of finite exhausters

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**Abstract** In this paper we introduce the notation of shadowing sets which is a generalization of the notion of separating sets to the family of more than two sets. We prove that  $\bigcap_{i \in I} A_i$  is a shadowing set of the family  $\{A_i\}_{i \in I}$  if and only if  $\sum_{i \in I} A_i = \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} A_k + \bigcap_{i \in I} A_i$ . It generalizes the theorem stating that  $A \cap B$  is separating set for  $A$  and  $B$  if and only if  $A + B = A \cap B + A \vee B$ . In terms of shadowing sets, we give a criterion for an arbitrary upper exhauster to be an exhauster of sublinear function and a criterion for the minimality of finite upper exhausters. Finally we give an example of two different minimal upper exhausters of the same function, which answers a question posed by Vera Roshchina (J Convex Anal, to appear).

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Pairs of closed bounded convex sets

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### 1 Introduction

The main object of this paper is to study the theory of exhausters, which was introduced by V.F. Demyanov and A.M. Rubinov [12] in quasi-differential calculus and subsequently

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Dedicated to the 75th Birthday of Professor Franco Gianessi.

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studied in a series of papers by V.F. Demyanov, A.M. Rubinov and V. Roshchina (see [5–11,27–29]) in the framework of lattices and semigroups (see [1,3,20]). We begin with the following statement:

**Proposition 1.1** ([20,31]) *Let  $S = (S, +)$  be a commutative semigroup with zero, satisfying the cancellation law*

$$a + s = b + s \text{ implies } a = b \tag{cl}$$

We define the relation of equivalence  $\sim$  in  $S^2 = S \times S$  by

$$(a, b) \sim (c, d) \text{ if and only if } a + d = b + c.$$

Let us denote

$$[a, b] = \{(c, d) \in S^2 \mid (c, d) \sim (a, b)\},$$

$(a, b) \in S^2$  and  $\tilde{S} = S^2 / \sim$ . Then  $(\tilde{S}, +)$  is a commutative group with

$$[a, b] + [c, d] = [a + c, b + d], \quad \tilde{0} = [a, a], \quad -[a, b] = [b, a]$$

and  $j(a) = [a, 0]$  defines an isomorphic mapping embedding  $S$  in  $\tilde{S}$ ;  $\tilde{S} = j(S) - j(S)$ . Moreover, if  $G$  is a commutative group such that  $S$  is embedded in  $G$  in an isomorphic way then  $\tilde{S}$  is isomorphic to some subgroup of  $G$ .

Let  $(S, +, \leq)$  be a commutative ordered semigroup with zero. For  $a, b \in S$  let  $a \vee b = \sup\{a, b\}$ ,  $a \wedge b = \inf\{a, b\}$ , if they exist. Assume that  $S$  satisfies the order cancellation law.

$$a + s \leq b + s \text{ implies } a \leq b \tag{olc}$$

and the addition is isotonic

$$a \leq b \text{ implies } a + s \leq b + s. \tag{i}$$

Also assume that

$$\text{for any } a, b \in S \text{ there exists } a \vee b. \tag{v}$$

Let distributive law be satisfied:

$$a \vee (b + s) = (a + s) \vee (b + s). \tag{d}$$

Obviously, the order cancellation law implies the cancellation law. Let us introduce an ordering in  $\tilde{S}$  in the following way:

$$[a, b] \leq [c, d] \text{ if and only if } a + d \leq b + c.$$

It is easy observe that the definition does not depend on the choice of representatives.

**Proposition 1.2** *If  $S = (S, +, \leq)$  is an ordered commutative semigroup with zero, isotonic, satisfying the order cancellation law, distributive law and having the sup property (v) then  $\tilde{S} = (\tilde{S}, +, \leq)$  is an ordered group such that for  $\tilde{x} = [a, b]$ ,  $\tilde{y} = [c, d]$  we have*

$$\begin{aligned} \tilde{x} \vee \tilde{y} &= \sup\{\tilde{x}, \tilde{y}\} = [(a + d) \vee (b + c), b + d], \\ \tilde{x} \wedge \tilde{y} &= \inf\{\tilde{x}, \tilde{y}\} = [a + b, (a + d) \vee (b + c)]. \end{aligned}$$

*Proof* Let  $\tilde{x} = [a, b], \tilde{y} = [c, d]$ . Notice that

$$a + b + d = b + a + d \leq b + (a + d) \vee (b + c).$$

Hence  $\tilde{x} \leq [(a + d) \vee (b + c), b + d] = \tilde{z}$ . Analogously,  $\tilde{y} \leq \tilde{z}$ . Let  $\tilde{x}, \tilde{y} \leq [e, f]$ . Then  $a + f \leq b + e$  and  $c + f \leq d + e$ . Hence  $a + d + f \leq b + d + e$  and  $b + c + f \leq b + d + e$ . Therefore,  $(a + d + f) \vee (b + c + f) \leq b + d + e$ . But

$$(a + d + f) \vee (b + c + f) = (a + d) \vee (b + c) + f$$

which implies  $\tilde{z} \leq [e, f]$ . Therefore,  $\tilde{x} \vee \tilde{y} = \tilde{z}$ .

Notice that

$$\begin{aligned} \tilde{x} \wedge \tilde{y} &= -\sup\{-\tilde{x}, -\tilde{y}\} = -([b, a] \vee [d, c]) \\ &= -[(a + d) \vee (b + c), a + c] = [a + c, (a + d) \vee (b + c)]. \end{aligned} \quad \square$$

Let  $X$  be a Hausdorff topological vector space over the field  $\mathbb{R}$  and  $\mathcal{B}(X)$  the family of all nonempty closed bounded convex subsets of  $X$ .

*Example 1.3* Let  $X = \mathbb{R}^2$  and  $S = \mathcal{B}_0(X)$ , where  $\mathcal{B}_0(X) \subset \mathcal{B}(X)$  is the family of non-empty compact convex subsets of the plane containing zero. If we define the operation “+” by the Minkowski addition and the partial order “ $\leq$ ” by  $A \leq B$  if and only if  $B \subset A$ , then  $(\mathcal{B}_0(X), +, \leq)$  becomes a partially ordered commutative semigroup which satisfies order cancellation law and isotony condition. Moreover for  $A, B \in \mathcal{B}_0(X)$ , we have  $A \vee B = A \cap B$ . Now we observe that  $A \cap B + C \subset (A + C) \cap (B + C)$ . Now we consider  $A = (0, -1) \vee (0, 1), B = (-1, 0) \vee (1, 0)$  and the unit ball  $C = \mathbb{B}((0, 0), 1)$ . Then  $A \cap B = \{(0, 0)\}$  and  $A \cap B + C = \mathbb{B}((0, 0), 1)$ . But  $(A + C) \cap (B + C) = A + B = (-1, 1) \vee (-1, -1) \vee (1, -1) \vee (1, 1)$ . Hence the semigroup  $S$  satisfies the conditions (olc),(i),(v) but not satisfies the distribution law (d) (Fig. 1).

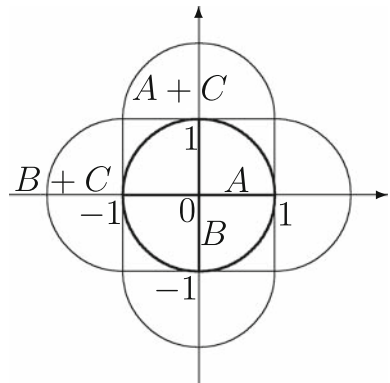
*Remark 1.4* In  $\mathbb{R}^2$  for arbitrary  $A, B, C \in \mathcal{B}(\mathbb{R}^2), A \cap B \neq \emptyset$  the intersection  $A \cap B$  is a summand of  $(A + C) \cap (B + C)$ (see [18]).

It is easy to observe that the following Lemma is true:

**Lemma 1.5** *Let  $G = (G, +, \leq)$  be a commutative order group and  $(x_i)_{i \in I} \subset G$ . If  $\sup_{i \in I} x_i \in G$ , then for every  $x \in G$ ,*

$$\sup_{i \in I} (x_i + x) = \sup_{i \in I} x_i + x.$$

**Fig. 1** Invalidity of the distribution law



A commutative partially ordered semigroup  $S$  with zero which satisfies the conditions (olc), (i), (v) and (d) is called  $q$ -semigroup. For a general representation theorem of  $q$ -semigroups we refer also to the paper of H. Ratschek and G. Schröder [26].

**Lemma 1.6** *Let  $S = (S, +, \leq)$  be a commutative ordered  $q$ -semigroup. Then for  $\tilde{x}_i = [a_i, 0], i \in I, I$ -finite*

$$\sup_{i \in I} \tilde{x}_i = \left[ \sup_{i \in I} a_i, 0 \right].$$

*Proof* Let  $a = \sup_{i \in I} a_i, \tilde{x}_i = [a_i, 0]$ . Since  $a_i \leq a$  for  $i \in I, \tilde{x}_i \leq \tilde{x}$ . Now let  $\tilde{x}_i \leq \tilde{y} = [b, c]$ , then  $a_i + c \leq b$  for  $i \in I$  and we have  $\sup_{i \in I} (a_i + c) = a + c \leq b$ , which imply  $\tilde{x} \leq \tilde{y}$ . Hence  $\tilde{x} = \sup_{i \in I} \tilde{x}_i$ .  $\square$

**Theorem 1.7** *Let  $S = (S, +, \leq)$  be a commutative ordered  $q$ -semigroup and  $([a_i, b_i])_{i \in I} \subset \tilde{S}, I$ -finite. Then*

$$\begin{aligned} \sup_{i \in I} [a_i, b_i] &= \left[ \bigvee_{i \in I} \left( a_i + \sum_{k \in I \setminus \{i\}} b_k \right), \sum_{i \in I} b_i \right], \\ \inf_{i \in I} [a_i, b_i] &= \left[ \sum_{i \in I} a_i, \bigvee_{i \in I} \left( b_i + \sum_{k \in I \setminus \{i\}} a_k \right) \right]. \end{aligned}$$

*Proof* Let  $\tilde{x}_i = [a_i, b_i]$  and denote  $\tilde{x} = [\sum_{i \in I} b_i, 0], i \in I$ . Then by Lemma 1.5 and Lemma 1.6, we obtain

$$\begin{aligned} \sup_{i \in I} \tilde{x}_i &= \sup_{i \in I} (\tilde{x}_i + \tilde{x} - \tilde{x}) = \sup_{i \in I} (\tilde{x}_i + \tilde{x}) - \tilde{x} \\ &= \sup_{i \in I} \left( [a_i, 0] - [b_i, 0] + \left[ \sum_{i \in I} b_i, 0 \right] \right) - \tilde{x} \\ &= \sup_{i \in I} \left[ a_i + \sum_{k \in I \setminus \{i\}} b_k, 0 \right] - \tilde{x} \\ &= \left[ \bigvee_{i \in I} \left( a_i + \sum_{k \in I \setminus \{i\}} b_k \right), \sum_{i \in I} b_i \right]. \end{aligned}$$

From the equations  $\inf_{i \in I} \tilde{x}_i = -\sup_{i \in I} -\tilde{x}_i$ , we obtain the second formulas.  $\square$

**Theorem 1.8** *Let  $S = (S, +, \leq)$  be a commutative ordered  $q$ -semigroup and  $([a_i, b_i])_{i \in I} \subset \tilde{S}, J \subset I$ -finite. Then  $\inf_{i \in J} [a_i, b_i] = \inf_{i \in I} [a_i, b_i]$  if and only if*

$$\bigvee_{i \in J} \left( b_i + \sum_{k \in I \setminus \{i\}} a_k \right) = \bigvee_{i \in I} \left( b_i + \sum_{k \in I \setminus \{i\}} a_k \right).$$

*Proof* Let  $\tilde{x}_i = [a_i, b_i], i \in I$ . Then  $\inf_{i \in J} \tilde{x}_i = \inf_{i \in I} \tilde{x}_i$  if and only if

$$\left[ \sum_{i \in J} a_i, \bigvee_{i \in J} \left( b_i + \sum_{k \in J \setminus \{i\}} a_k \right) \right] = \left[ \sum_{i \in I} a_i, \bigvee_{i \in I} \left( b_i + \sum_{k \in I \setminus \{i\}} a_k \right) \right],$$

it is equivalent

$$\begin{aligned} \sum_{i \in I \setminus J} a_i + \bigvee_{i \in J} \left( b_i + \sum_{k \in J \setminus \{i\}} a_k \right) &= \bigvee_{i \in J} \left( b_i + \sum_{k \in I \setminus \{i\}} a_k \right) \\ &= \bigvee_{i \in I} \left( b_i + \sum_{k \in I \setminus \{i\}} a_k \right). \end{aligned} \quad \square$$

### 2 Shadowing

For a semigroup  $S$  we say that an element  $c \in S$  *shadows*  $(\tilde{x}_i)_{i \in I} \subset \tilde{S}$ , if  $\inf_{i \in I} \tilde{x}_i \leq [c, 0]$ . If  $\inf_{i \in I} \tilde{x}_i = [c, 0]$  then we say that element  $c$  *exactly shadows*  $(\tilde{x}_i)_{i \in I}$ . In the case of a  $q$ -semigroup an abstract difference has been recently introduced [22]. Let  $a, b \in S$  be two elements of a  $q$ -semigroup. Then *abstract difference* of  $a$  and  $b$  is the greatest element (if it exists) of the set  $\mathcal{D}(a, b) = \{x \mid x + b \leq a\}$  and denoted by  $a \dot{-} b$ .

The abstract difference [22] has the following properties:

- (D1) If  $a \dot{-} b$  exists, then  $(a \dot{-} b) + b \leq a$ .
- (D2) If  $a = b + c$ , then  $c = a \dot{-} b$ .
- (D3) For every  $a \in S$ , we have  $a \dot{-} a = 0$ .
- (D4) If  $a \leq b$  and for some  $c \in S$ ,  $a \dot{-} c, b \dot{-} c$  exist, then  $a \dot{-} c \leq b \dot{-} c$ .
- (D5) If  $a \dot{-} b$  exists then for every  $c \in S$ ,  $(a + c) \dot{-} (b + c) = a \dot{-} b$ .

For  $(\tilde{x}_i)_{i \in I} \subset \tilde{S}$ ,  $\tilde{x}_i = [a_i, b_i]$ , we denote

$$\bigvee_{i \in I} \tilde{x}_i = \bigvee_{i \in I} \left( b_i + \sum_{k \in I \setminus \{i\}} a_k \right),$$

in the case when  $\tilde{x}_i = [a_i, 0]$  we write

$$\bigvee_{i \in I} \tilde{x}_i = \bigvee_{i \in I} a_i = \bigvee_{i \in I} \left( \sum_{k \in I \setminus \{i\}} a_k \right).$$

**Theorem 2.1** *If  $S$  is a commutative ordered  $q$ -semigroup, then element  $c \in S$  exactly shadows  $(\tilde{x}_i)_{i \in I} \subset \tilde{S}$ , if and only if  $\bigvee_{i \in I}^{|I|-1} \tilde{x}_i$  is a summand of  $\sum_{i \in I} a_i$ .*

*Proof* Let  $\tilde{x}_i = [a_i, b_i]$ ,  $i \in I$ . Then  $\inf_{i \in I} \tilde{x}_i = [\sum_{i \in I} a_i, \bigvee_{i \in I}^{|I|-1} \tilde{x}_i] \in j(S)$  if and only if  $\sum_{i \in I} a_i = \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + c$  for some  $c \in S$ . □

Now we introduce the following condition:

$$\text{If } \mathcal{D}(a, b) \neq \emptyset, \text{ then } a \dot{-} b \text{ exists.} \tag{s}$$

A commutative partially ordered  $q$ -semigroup  $S$  which satisfies condition (s), is called a  *$\dot{q}$ -semigroup*.

**Lemma 2.2** *If  $S$  is a commutative ordered  $\dot{q}$ -semigroup and  $(\tilde{x}_i)_{i \in I} \subset \tilde{S}$ . Then  $\bigvee_{i \in I}^{|I|-1} \tilde{x}_i$  is a summand of  $\sum_{i \in I} a_i$  if and only if  $\bigwedge_{i \in I} (a_i \dot{-} b_i)$  exactly shadows  $(\tilde{x}_i)_{i \in I}$ .*

*Proof* Let for a some  $c \in S$ ,  $c$  exactly shadows  $\tilde{x}_i = [a_i, b_i]$ ,  $i \in I$ . Then

$$\sum_{i \in I} a_i = \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + c \geq b_i + \sum_{i \in I \setminus \{i\}} a_i + c, \quad i \in I.$$

Therefore  $a_i \geq b_i + c$  and from condition (s) it follows that the difference  $a_i \dot{-} b_i$  exists and moreover  $a_i \dot{-} b_i \geq c$ . Hence  $c \leq \bigwedge_{i \in I} (a_i \dot{-} b_i)$ . Now we observe that for every  $k \in I \setminus \{i\}$  we have

$$b_i + \sum_{k \in I \setminus \{i\}} a_k + \bigwedge_{i \in I} (a_i \dot{-} b_i) \leq \sum_{k \in I \setminus \{i\}} a_k + b_i + (a_i \dot{-} b_i) \leq \sum_{i \in I} a_i.$$

Therefore

$$\bigvee_{i \in I}^{|I|-1} \tilde{x}_i + \bigwedge_{i \in I} (a_i \dot{-} b_i) \leq \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + c,$$

and from the order cancellation law  $\bigwedge_{i \in I} (a_i \dot{-} b_i) \leq c$ . Hence  $c = \bigwedge_{i \in I} (a_i + c \dot{-} b_i)$ .  $\square$

**Theorem 2.3** *If  $S$  is a commutative ordered  $\dot{q}$ -semigroup and  $(c, d) \in [\bigvee_{i \in I}^{|I|-1} \tilde{x}_i, \sum_{i \in I} a_i]$ , then  $\bigwedge_{i \in I} (a_i + c \dot{-} b_i) = d$  exactly shadows  $([a_i + c, b_i])_{i \in I}$ .*

*Proof* Let  $c + \sum_{i \in I} a_i = \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + d$ . We observe that

$$\begin{aligned} \bigvee_{i \in I} \left( b_i + \sum_{k \in I \setminus \{i\}} (a_k + c) \right) &= \bigvee_{i \in I} \left( b_i + (|I| - 1)c + \sum_{k \in I \setminus \{i\}} a_k \right) \\ &= (|I| - 1)c + \bigvee_{i \in I}^{|I|-1} \tilde{x}_i. \end{aligned}$$

Therefore

$$\begin{aligned} \sum_{i \in I} (a_i + c) &= \sum_{i \in I} a_i + c + (|I| - 1)c = \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + (|I| - 1)c + d \\ &= \bigvee_{i \in I} \left( b_i + \sum_{k \in I \setminus \{i\}} (a_k + c) \right) + d. \end{aligned}$$

Now from Lemma 2.2 it follows that  $d = \bigwedge_{i \in I} (a_i + c \dot{-} b_i)$  exactly shadows  $([a_i + c, b_i])_{i \in I}$ .  $\square$

*Remark 2.4* If  $\bigwedge_{i \in I} (a_i \dot{-} b_i)$  exactly shadows  $(\tilde{x}_i)_{i \in I}$ , then  $\sum_{i \in I} a_i = \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + \bigwedge_{i \in I} (a_i \dot{-} b_i)$ . Hence  $\inf_{i \in I} \tilde{x}_i = [\bigwedge_{i \in I} (a_i \dot{-} b_i), 0]$ .

### 3 Minimality criteria for exhausters

Differently from the above mentioned work of V.F. Demyanov, A.M. Rubinov and V. Roshchina (see [5–8, 12]) we consider only exhausters with a finite index set for elements in a semigroup. To distinguish in this section elements of semigroup from functions, we denote

by  $\tilde{h}$  an element of a semigroup and by  $h$  a function. Now we say that a set  $I^*(\tilde{h}) = \{a_i\}_{i \in I}$  of elements of a semigroup  $S$  is an *upper exhaustor* of an element  $\tilde{h} \in \tilde{S}$ , if  $\tilde{h}$  can be represented in the form  $\tilde{h} = \inf_{i \in I} [a_i, 0]$ . Since  $I$  is finite it follows from Theorem 1.7 that  $\tilde{h}$  always exists and that:

$$\tilde{h} = \left[ \sum_{i \in I} a_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a_k \right].$$

We say that exhaustor  $I^*(\tilde{h})$  is *minimal by inclusion*, if there exists no other upper exhaustor  $J^*(\tilde{h})$  of  $\tilde{h}$  such that  $J^*(\tilde{h}) \subset I^*(\tilde{h})$ .

From Theorem 1.8 follows the criterion of minimality by inclusion.

**Theorem 3.1** *If  $S$  is a commutative  $\dot{q}$ -semigroup, then exhaustor  $I^*(\tilde{h})$  is minimal by inclusion if and only if*

$$\bigvee_{i \in J} \sum_{k \in I \setminus \{i\}} a_i < \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a_i,$$

for any proper subset  $J \subset I$ .

We say that exhaustor  $I^*(\tilde{h})$  is  *$I$ -minimal*, if for any  $a'_i \leq a_i, i \in I, \tilde{h} = \inf_{i \in I} [a'_i, 0]$  implies  $a'_i = a_i, i \in I$ .

From Lemma 2.2 and definition of  $I$ -minimality of exhausters we have

**Proposition 3.2** *If  $S$  is  $\dot{q}$ -semigroup and  $\bigwedge_{i \in I} a_i$  exactly shadows  $([a_i, 0])_{i \in I}$ , then exhaustor  $I^*(\tilde{h})$  is  $I$ -minimal if and only if  $\bigwedge_{i \in I} a'_i = \bigwedge_{i \in I} a_i$  for any  $a'_i \leq a_i, i \in I$ , implies  $a'_i = a_i, i \in I$ .*

We observe that if for  $a'_i \leq a_i, i \in I, \bigwedge_{i \in I} a'_i = \bigwedge_{i \in I} a_i$ , then for every  $j \in I$  we have  $a'_j \wedge \bigwedge_{i \in I \setminus \{j\}} a_i = \bigwedge_{i \in I} a_i$ .

Now from Theorem 2.1 and Theorem 2.3 about shadows and Proposition 3.2 we obtain a general criterion of  $I$ -minimality of exhausters.

**Theorem 3.3** *If  $S$  is  $\dot{q}$ -semigroup and  $(c, d) \in [\sum_{i \in I} a_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a_k]$ , then exhaustor  $I^*(\tilde{h})$  is  $I$ -minimal if and only if*

$$\bigwedge_{i \in I} (a'_i + c) = \bigwedge_{i \in I} (a_i + c) \text{ for } a'_i \leq a_i, i \in I, \text{ implies } a'_i = a_i, i \in I.$$

*Proof* Let  $a'_i \leq a_i, i \in I$  and

$$\left[ \sum_{i \in I} a'_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a'_i \right] = \left[ \sum_{i \in I} a_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a_k \right].$$

By Theorem 2.3 we have

$$\bigwedge_{i \in I} (a'_i + c) = \bigwedge_{i \in I} (a_i + c) = d$$

shadows  $([a'_i + c, 0])_{i \in I}$  and  $([a_i + c, 0])_{i \in I}$ . Moreover

$$\inf_{i \in I} [a'_i + c, 0] = \inf_{i \in I} [a_i + c, 0] = \left[ \sum_{i \in I} a_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a_k \right]. \quad \square$$

We say that the sequence  $(\tilde{x}_j)_{j \in J}$  is *finer* than the sequence  $(\tilde{x}_i)_{i \in I}$  if for every  $j \in J$  there exists  $i \in I$  such that  $\tilde{x}_j \leq \tilde{x}_i$ .

We say that upper exhauster  $I^*(\tilde{h})$  is *minimal*, if there exists no other upper exhauster  $J^*(\tilde{h})$  of  $\tilde{h}$  finer than  $I^*(\tilde{h})$ .

**Theorem 3.4** *If  $S$  is  $\dot{q}$ -semigroup, then upper exhauster  $I^*(\tilde{h})$  is minimal if and only if is minimal by inclusion and is  $I$ -minimal. It is equivalent that exhauster  $I^*(\tilde{h})$  satisfies the following condition:*

$$\bigvee_{i \in J} \sum_{k \in I \setminus \{i\}} a_k < \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a_k \text{ for every proper subset } J \subset I.$$

*If  $\bigwedge_{i \in I} (a'_i + c) = \bigwedge_{i \in I} (a_i + c)$  for  $a'_i \leq a_i, i \in I$  and  $(c, d) \in [\sum_{i \in I} a_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a_k]$ , then  $a'_i = a_i$  for  $i \in I$ .*

*Proof* Let  $\tilde{h} = \inf_{i \in I} [a_i, 0] = \inf_{j \in J} [a'_j, 0]$ , where  $J^*(\tilde{h})$  is finer than  $I^*(\tilde{h})$ . Now given

$$(c, d) \in \left[ \sum_{i \in I} a_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} a_k \right],$$

then

$$d = \bigwedge_{i \in I} (a_i + c) = \bigwedge_{j \in J} (a'_j + c).$$

Let  $J_k = \{j \in J \mid a'_j \leq a_k\}, k \in I$ . By assumption  $J_k \neq \emptyset$  for some  $k \in I$ . Denote  $I_0 = \{k \in I \mid J_k \neq \emptyset\}$ . Now given arbitrary  $a'_j$ , there exists  $k \in I$  such that  $a'_j \leq a_k$ . Therefore  $d = \bigwedge_{j \in J} (a'_j + c) \leq a'_j + c$ , hence  $d \leq (a'_j + c) \wedge \bigwedge_{i \in I \setminus \{j\}} (a_i + c)$ . Now we consider

$$a''_i = \begin{cases} a'_j & \text{for } i = k, \\ a_i & \text{for } i \in I \setminus \{k\}. \end{cases}$$

Then  $d = \bigwedge_{i \in I} (a''_i + c)$  and  $a''_i \leq a_i$  for  $i \in I$ . Since exhauster  $I^*(\tilde{h})$  is  $I$ -minimal,  $a'_j = a_k$  and from arbitrary  $a'_j$  we have  $\{a'_j\}_{j \in J} = \{a_i\}_{i \in I_0}$ . But exhauster  $I^*(\tilde{h})$  is minimal by the inclusion, hence  $I_0 = I$ . □

### 4 Reduction

For an ordered commutative semigroup  $(S, +, \leq)$  we call a pair  $(a, b) \in S^2$  is *convex* if  $a + b \leq a \vee b + a \wedge b$ .

**Lemma 4.1** *Let  $a, b, f, p \in S$ , pair  $(a, p)$  be convex and  $b = a \vee p, f = a \wedge p$ . Then the pairs  $(a, b)$  and  $(f, p)$  are equivalent.*

*Proof* From the convexity of pair  $(a, p)$  we have  $a + p = a \vee p + a \wedge p$ . Therefore  $a + p = b + f$  and we obtain  $(a, b) \sim (f, p)$ . □

**Theorem 4.2** *Let  $m_1 \vee a_2 = f \vee a_2, (m_1, p_1)$  be a convex pair and  $a_1 = m_1 \vee p_1, f = m_1 \wedge p_1$ . Moreover let  $(p_1, m_1 \vee a_2)$  be a convex pair. Then pairs  $(a_1 + a_2, a_1 \vee a_2)$  and  $(m_1 + a_2, m_1 \vee a_2)$  are equivalent,  $\inf\{[a_1, 0], [a_2, 0]\} = \inf\{[m_1, 0], [a_2, 0]\}$ .*



*Proof* Let  $a = m_1 \vee a_2, b = a_1 \vee a_2$ . Then from the Lemma 4.1 it follows that  $(a, b)$  is equivalent  $(f, p_1)$ . Therefore  $m_1 \vee a_2 + p_1 = a_1 \vee a_2 + f$  but  $a_1 + f = p_1 + m_1$  hence

$$(f, p_1) \sim (m_1, a_1) \sim (m_1 + a_2, a_1 + a_2) \sim (m_1 \vee a_2, a_1 \vee a_2). \quad \square$$

**Theorem 4.3** *Let  $f \vee g = m_1 \vee m_2$ , pairs  $(m_1, p_1), (m_2, p_2)$ , are convex and  $a_1 = m_1 \vee p_1, f = m_1 \wedge p_1, g = m_2 \wedge p_2$ . Moreover let pairs  $(p_1, m_1 \vee a_2)$  and  $(p_2, m_2 \vee a_1)$  are convex. Then pairs  $(a_1 + a_2, a_1 \vee a_2)$  and  $(m_1 + m_2, m_1 \vee m_2)$  are equivalent.*

*Proof* By Theorem 4.2 it follows that

$$(a_1 + a_2, a_1 \vee a_2) \sim (m_1 + a_2, m_1 \vee a_2)$$

and using again Theorem 3.4 we get

$$(a_1 + a_2, a_1 \vee a_2) \sim (m_1 + m_2, m_1 \vee m_2). \quad \square$$

### 5 Applications to the Minkowski–Rådström–Hörmander lattice

Let  $X$  be a Hausdorff topological vector space over the field  $\mathbb{R}$  and  $\mathcal{B}(X)$  be the family of all nonempty closed bounded convex subsets of  $X$ . For  $A, B, C, D \in \mathcal{B}(X)$  we have  $A + B = \{a + b \mid a \in A, b \in B\}, A \dot{+} B = \overline{A + B}, A \vee B = \overline{\text{conv}}(A \cup B), A \dot{-} B = \{x \in X \mid x + B \subset A\}, (A, B) \sim (C, D)$  if and only if  $A \dot{+} D = B \dot{+} C, [A, B] = [(A, B)]_{\sim}, [A, B] \leq [C, D]$  if and only if  $A \dot{+} D \subset B \dot{+} C, [A, B] + [C, D] = [A \dot{+} C, B \dot{+} D], [A, B] \vee [C, D] = \sup\{[A, B], [C, D]\} = [(A \dot{+} D) \vee (B \dot{+} C), B \dot{+} D]$ . The quotient space  $\tilde{X} = \mathcal{B}^2(X) / \sim$  is called the *Minkowski–Rådström–Hörmander (M–R–H) lattice* over  $X$ . Moreover,  $(\tilde{X}, +, \leq)$  is a topological vector lattice. For the definition of M–R–H lattice we refer to [31], and to the paragraph 3.4 in [21].

There exists an interesting duality with the space of differences of sublinear functions. Since we need this duality only in the finite dimensional case, we will consider instead of  $\mathcal{B}(X)$  the semi-subgroup  $\mathcal{K}(X)$  of all compact convex subsets of  $X$ . Endowed with the order  $[A, B] \leq [C, D] \iff A + D \subseteq B + C$  the space  $(\mathcal{K}^2(X') / \sim, \leq)$  is a sublattice of  $\tilde{X}$ .

Now we consider the convex cone of all weak- $*$ -continuous sublinear functions  $\mathcal{P}(X')$  on the dual  $X'$  of  $X$  and put  $\mathcal{D}(X') = \{\varphi = p - q \mid p, q \in \mathcal{P}(X)\}$ . With respect to the pointwise ordering of functions given by  $\varphi \leq \psi$  if and only if  $\varphi(x) \leq \psi(x)$  holds for every  $x \in X'$ , the space  $(\mathcal{D}(X'), \leq)$  is a vector lattice. Since the sublinear function  $p : X \rightarrow \mathbb{R}$  is weak- $*$ -continuous its subdifferential  $\partial p|_0 \subset X$  is a compact convex subset of  $X$ . Now the assignment:

$$\mathcal{D}(X) \longrightarrow \mathcal{K}^2(X') / \sim \text{ with } \varphi \mapsto [\varphi] = \{(\partial p|_0, \partial q|_0) \mid \text{with } \varphi = p - q, p, q \in \mathcal{P}(X)\}$$

is a lattice isomorphism, called *Minkowski duality* (see [21]).

The Minkowski–Rådström–Hörmander lattice [19, 25] is very useful in studying bounded-valued correspondences [4, 14], in quasidifferential calculus [12, 13, 16, 17, 21, 23, 30, 32] and in calculating Aumann–Integral [2]. The M–R–H lattices were studied also in a number of papers, for example [15, 24, 31].

Since semigroup  $S = (\mathcal{B}(X), \dot{+}, \subset)$  is a  $\dot{q}$ -semigroup then all results concerning upper exhausters which we obtained in the previous sections can be applied to the semigroup  $S = \mathcal{B}(X)$ .

First we present a result concerning shadowing. It is easy to observe the following fact:

**Lemma 5.1** *Let  $X$  be a vector space,  $(a_i)_{i \in I}, (b_i)_{i \in I} \subset X$  and  $(\alpha_i)_{i \in I} \subset \mathbb{R}$ . Then*

$$\left(\sum_{i \in I} \alpha_i\right) \left(\sum_{i \in I} a_i\right) = \sum_{i \in I} \alpha_i \left(b_i + \sum_{k \in I \setminus \{i\}} a_k\right) + \sum_{i \in I} \alpha_i (a_i - b_i).$$

**Theorem 5.2** *Let  $X$  be a topological vector space. Then the set  $C \in \mathcal{B}(X)$  shadows  $([A_i, B_i])_{i \in I} \subset \mathcal{B}(X) \times \mathcal{K}(X) \int_{\sim} \subset \tilde{X} = \mathcal{B}^2(X) \int_{\sim}$  if and only if for every  $a_i \in A_i, i \in I$  there exists  $b_i \in B_i, i \in I$  such that*

$$C \cap \bigvee_{i \in I} (a_i - b_i) \neq \emptyset. \tag{*}$$

*Proof* Suppose that  $\sum_{i \in I} a_i \subset \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + C$ . Since for every  $a_i \in A_i, i \in I$  we have

$$B_i + \sum_{i \in I} A_i \subset \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + C + (B_i - a_i),$$

it follows that

$$\bigvee_{i \in I} \left(B_i + \sum_{k \in I \setminus \{i\}} A_k\right) \subset \bigvee_{i \in I} \left(B_i + \sum_{k \in I \setminus \{i\}} A_k\right) + C + \bigvee_{i \in I} (B_i - a_i).$$

Now from the order cancellation law we have  $0 \in C + \bigvee_{i \in I} (B_i - a_i)$ , and hence there exist  $c \in C$  and  $b_i \in B_i, i \in I$  such that  $c = \sum_{i \in I} \alpha_i (a_i - b_i)$  for a some convex combination  $(\alpha_i) \subset \mathbb{R}$  with  $\sum_{i \in I} \alpha_i = 1$ .

Now let  $(\tilde{x}_i)_{i \in I}$  satisfy condition (\*). Then it follows from Lemma 5.1 that  $\sum_{i \in I} a_i \subset \bigvee_{i \in I}^{|I|-1} \tilde{x}_i + C$ . □

**Corollary 5.3** *Let  $X$  be a topological vector space. Then the set  $C \in \mathcal{B}(X)$  shadows  $(A_i)_{i \in I} \subset \mathcal{B}(X)$  if and only if for every  $a_i \in A_i, i \in I$  one has  $C \cap \bigvee_{i \in I} a_i \neq \emptyset$ .*

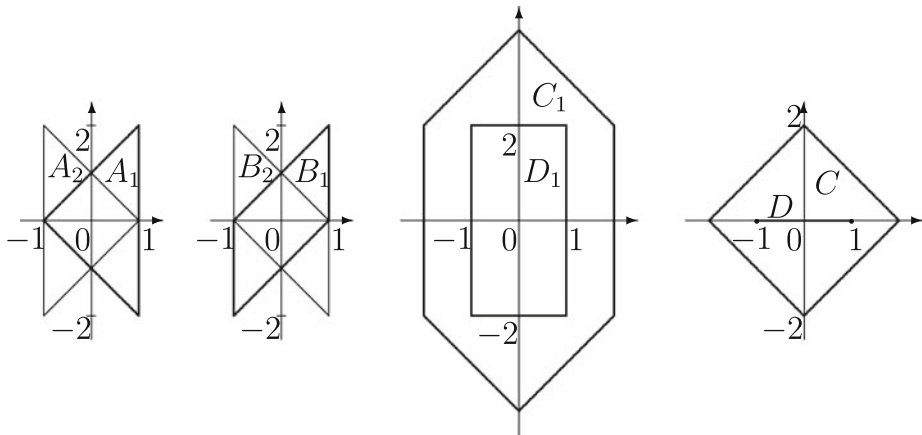
### 6 Minimality of exhausters in $\mathcal{B}(X)$

From the above sections we deduce for the case of the semigroup  $S = (\mathcal{B}(X), \dot{+}, \subset)$  the following results: For example Theorem 3.4 can be stated as:

**Theorem 6.1** *If  $S = \mathcal{B}(X)$ , then upper exhauster  $I^*(h)$  is minimal if and only if it is minimal by inclusion and is  $I$ -minimal or equivalently that the exhauster  $I^*(h)$  satisfies the following condition:*

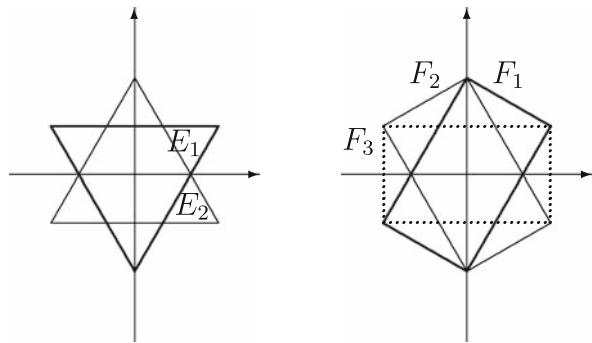
$\bigvee_{i \in J} \sum_{k \in I \setminus \{i\}} A_k \subset \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} A_k$  for every proper subset  $J \subset I$ . If  $\bigwedge_{i \in I} (A'_i + C) = \bigwedge_{i \in I} (A_i + C)$  for  $A'_i \subset A_i, i \in I$  and  $(C, D) \in [\sum_{i \in I} A_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} A_k]$ , then  $A'_i = A_i$  for  $i \in I$ .

Now we observe that for upper exhauster  $I^*(\tilde{h})$  we have  $\tilde{h} = [A, B] \in \tilde{X}$ , where  $(A, B) \in [\sum_{i \in I} A_i, \bigvee_{i \in I} \sum_{k \in I \setminus \{i\}} A_i]$ . Denote  $A = \underline{\partial}h, B = \overline{\partial}h$ . Using the Minkowski duality we obtain that (see [5–7, 29])  $I^*(\tilde{h}) = E^*(h) = \{A_i \mid i \in I\}$ , where  $h = \inf_{i \in I} p_{A_i} =$



**Fig. 2** Two different minimal upper exhausters (Case 1)

**Fig. 3** Two different minimal upper exhausters (Case 2)



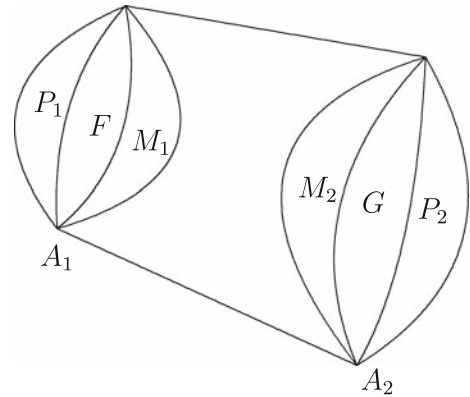
$p_A - p_B \in \mathcal{D}(X)$ . Of course minimality of upper exhauster  $E^*(h)$  is equivalent to minimality of upper exhauster  $I^*(\tilde{h})$ .

Now we give an example of two different minimal upper exhausters of the same function. This answers a question posed by Vera Roshchina [29].

*Example 6.2* Consider two triangles  $A_1 = (1, 2) \vee (1, -2) \vee (-1, 0)$  and  $A_2 = (-1, 2) \vee (-1, -2) \vee (1, 0)$  in  $\mathcal{B}(\mathbb{R}^2)$ . Let  $h = \min(p_{A_1}, p_{A_2})$ . Then  $\{A_1, A_2\} = I^*(\tilde{h})$  is an upper exhauster of the function  $h$ . Notice that  $h = p_{C_1} - p_{D_1} = p_C - p_D$ , where  $C_1 = A_1 + A_2$  and  $D_1 = A_1 \vee A_2$  and  $(C, D)$  is a minimal pair of convex sets equivalent to  $(C_1, D_1)$ . Since the function  $h$  is not convex, any upper exhauster of  $h$  has to contain at least two sets. Then  $I^*(\tilde{h})$  is inclusion-minimal. Assume that  $K^*(\tilde{h}) = \{E_i\}_{i \in I}$  is an exhauster finer than  $I^*(\tilde{h})$  and that  $E_\lambda \subsetneq A_1$  for some  $\lambda \in I$ . Then the set  $E_\lambda$  does not contain one of the vertices of the triangle  $A_1$ . Hence  $h(x) = \inf_{i \in I} p_{E_i}(x) \leq p_{E_\lambda}(x) < p_{A_1}(x) = \min(p_{A_1}(x), p_{A_2}(x)) = h(x)$  for  $x = (1, 0), (0, 1)$  or  $(0, -1)$  which is impossible and contradicts the assumption that the upper exhauster  $K^*(\tilde{h})$  is essentially finer than  $I^*(\tilde{h})$ . Therefore, the upper exhauster  $I^*(\tilde{h})$  is minimal. On the other hand, the upper exhauster  $J^*(\tilde{h}) = \{B_1, B_2\}$  is also minimal. Then the uniqueness of minimal upper exhausters does not hold (Fig. 2).

Another example of two different minimal upper exhauster is provided in Fig. 3.

**Fig. 4** Illustration of the reduction technique



## 7 Special reduction techniques

For the semigroup  $S = (\mathcal{B}(X), \dot{+}, \subset)$  we obtain from the considerations in Sect. 5 the following reduction techniques, which are summarized now:

**Theorem 7.1** *Let  $M_1 \vee A_2 = F \vee A_2$ ,  $A_1 = M_1 \cup P_1$ ,  $F = M_1 \cap P_1$ . Moreover let pair  $(P_1, M_1 \vee A_2)$  be convex. Then pairs  $(A_1 + A_2, A_1 \vee A_2)$  and  $(M_1 + A_2, M_1 \vee A_2)$  are equivalent and  $\inf\{[A_1, 0], [A_2, 0]\} = \inf\{[M_1, 0], [A_2, 0]\}$  (Fig. 2).*

**Theorem 7.2** *Let  $F \vee G = M_1 \vee M_2$ ,  $A_1 = M_1 \cup P_1$ ,  $A_2 = M_2 \cup P_2$ ,  $F = M_1 \cap P_1$ ,  $G = M_2 \cap P_2$ . Moreover let pairs  $(P_1, M_1 \vee A_2)$ ,  $(P_2, M_2 \vee A_1)$  be convex. Then pairs  $(A_1 + A_2, A_1 \vee A_2)$  and  $(M_1 + M_2, M_1 \vee M_2)$  are equivalent (Fig. 4).*

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